

## INSTRUCTIONS

Please fill in all the details in **BLOCK LETTERS** only.

Please provide all the required documents and check them appropriately in the checklist provided below.

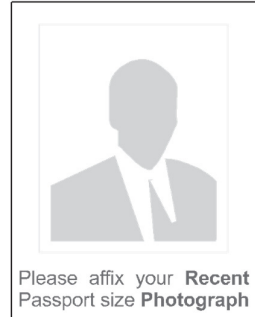
### *Eligibility Criteria & Conditions of Appointment*

<p><b>Eligibility Criteria for Individuals</b></p>	<ol style="list-style-type: none"><li>1. Should be a citizen of India.</li><li>2. Should not be less than 18 years of age.</li><li>3. Should not have been convicted of any offence involving fraud and dishonesty.</li><li>4. Should have good reputation and character.</li><li>5. Should have passed at least 10th standard or equivalent examination from an institute recognized by the Government.</li><li>6. The Authorised Person shall also have the necessary infrastructure like adequate office space, equipment and manpower to effectively discharge the on behalf of the Trading Member.</li></ol>
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### **Common checklist for AP registration (All copies of valid proofs should be self certified)**

- PAN CARD - 3 Copy along with back side.
- Office Address Proof - 3 Copy (Any one Rent Agreement/ Electricity bill/ BSNL Landline bill- not more than 1 month old)
- Residence Address Proof- 3 Copy (Any one DL/PP/EC/Aadhaar Card)
- Passport Size Photo - 3
- Education Mark sheet/ Degree Certificate - 3 Copy (Minimum 10<sup>th</sup> Pass)
- Bank Passbook/ Statement- 1 Copy (Last 30days transaction Entry)
- Cancelled Cheque - 1 Copy
- Marriage Certificate/ Affidavit - 3 Copy (Applicable only in case of female applicant)
- Also make sure your name appears on all documents must be same as it appears on PAN CARD
- All Xerox copy of documents must be self-attested by applicant
- Registration Charges 2000+18% GST Per segment and Stamp Charges Extra (Per segment)

To,  
 Director,  
 NIRMAN SHARE BROKERS PVT. LTD.  
 Nirman House, 8 Zone -1,  
 M.P. Nagar Bhopal -462011 (M.P.)



Dear Sir,  
 I/We am/are interested to furnish the following in form on and document forwards my/our Evaluation  
 for becoming your Business partner.

Applied for	<input type="checkbox"/> Nse Cash <input type="checkbox"/> Nse FO <input type="checkbox"/> Nse CD <input type="checkbox"/> Bse Cash <input type="checkbox"/> Bse FO <input type="checkbox"/> Bse CD														
Application Status	<input type="checkbox"/> Individual <input type="checkbox"/> Proprietorship <input type="checkbox"/> Partnership Firm <input type="checkbox"/> LLP <input type="checkbox"/> Body Corporate														
<b>PERSONAL DETAILS OF APPLICANT</b>															
NAME: MR. / MS. / M/s. <small>(applicant name should be as appearing in PAN card)</small>															
First					Middle					Last					
Maiden Name <small>(if any)</small>									Place of Birth						
Father / Husband Name															
Trade Name of Applicant															
Date of Birth / Incorporation date							Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female							
PAN NO.															
Educational qualification Individual / Prop.							Mobile No.								
Email ID															
Office Address															
<input type="checkbox"/> Own <input type="checkbox"/> Rented															
	City					State					Pin Code				
Office Contact Detail									Office Sq. Ft. (Approx)						
Residence Address															
<input type="checkbox"/> Own <input type="checkbox"/> Rented															
	City					State					Pin Code				
Residence Contact Detail									Resi. Sq. Ft. (Approx)						

<b>BANK DETAILS OF APPLICANT</b>			
Bank Name			
Bank Branch Address			
Bank Account No.			
Type of A/c	<input type="checkbox"/> Saving	<input type="checkbox"/> Current	<input type="checkbox"/> Other _____
Preferred Mode of Payment	<input type="checkbox"/> Cheque	<input type="checkbox"/> Online Transfer	
IFSC Code		MICR Code	

<b>INFRASTRUCTURE DETAILS</b>	
Employee Strength	
No. of Trading terminals required:	
Connectivity	<input type="checkbox"/> VSAT <input type="checkbox"/> Internet <input type="checkbox"/> Lease Line <input type="checkbox"/> Others
Type of Internet Connection	<input type="checkbox"/> Dial Up <input type="checkbox"/> Broad band <input type="checkbox"/> Cable <input type="checkbox"/> Other

<b>CAPITAL MARKET EXPERIENCE</b>				
Awareness Rank	<input type="checkbox"/> 1 For Low	<input type="checkbox"/> 2 For Medium	<input type="checkbox"/> 3 High Awareness	
<input type="checkbox"/> Equity <input type="checkbox"/> Currency <input type="checkbox"/> Commodities <input type="checkbox"/> Mutual Funds <input type="checkbox"/> Debt <input type="checkbox"/> IPOs <input type="checkbox"/> Others				
No. of years in broking and period:				
Whether the individual / proprietor / partner / director of the entity is/was associated with any exchange / member of the exchange:				
<input type="checkbox"/> YES	<input type="checkbox"/> NO	If yes, the details should be captured as follows:		
<b>Name / Trade name of applicant</b>	<b>Associated broker name</b>	<b>Registration nos. with segment (CM/F&amp;O/CD)</b>	<b>Name of exchange</b>	<b>Current Status</b>

<b>SECURITY DEPOSIT DETAILS</b>				
Total SD required from BP	Upfront cash amount received	Stocks / Debentures	Bank Guarantees / FDRs	Deduction from brokerage / Other remarks

<b>DEALER'S CERTIFICATION STATUS</b>	<b>I</b>	<b>II</b>	<b>III</b>
<b>NISM Certification Name</b>			
<b>Father's Name</b>			
<b>Date of Birth</b>			
<b>Certificate Number</b>			
<b>Certificate Expiry Date</b>			
<b>Permanent Address</b>			

### **CHEQUE / DD DETAILS**

<b>Date</b>	<b>Cheque/DD/ NEFT Details</b>	<b>Amount</b>	<b>Bank Name &amp; Address</b>	<b>In favour Of</b>

AP Signature